

## FINANCIAL DIMENSIONS GROUP, INC. PRIVACY NOTICE

Facts      What does Financial Dimensions Group, Inc. Do with Your Personal Information?	
Why?	<p><b>Financial Dimensions Group, Inc. (FDG)</b> collects information about you to assist us in providing services and products to help you meet your financial goals and objectives and provide high standards of customer service. Additionally, information is obtained from you in order to help us fulfill our legal and regulatory requirements. Information collected will vary depending on the products and services requested and the scope of your engagement with us.</p> <p>Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.</p>
What?	<p>The types of personal information we collect can include:</p> <ul style="list-style-type: none"> <li>● Social Security number</li> <li>● Income</li> <li>● Assets</li> <li>● Personal information (address, date of birth, net worth, income)</li> <li>● Account numbers and information</li> <li>● Financial Information</li> <li>● Insurance and medical information</li> </ul> <p>When you are no longer our customer, we will continue to hold your information and share it as described in this notice.</p>
How?	<p>All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons why financial companies can share their customers' personal information, the reasons Financial Dimensions Group, Inc. chooses to share personal information and whether you can limit this sharing.</p>

Reasons We Can Share Your Personal Information	Does Adviser share?	Can you limit this sharing?
For our everyday business purposes, such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	Yes	No
For our marketing purposes to offer our products and services to you.	Yes	No
For joint marketing with other financial companies.	Yes	No
For our affiliates' everyday business purposes—information about your transactions and experiences. We will share information about our customers to process transactions, maintain your account(s), or respond to court orders and legal investigations.	Yes	No
For our affiliates' everyday business purposes—information about your creditworthiness.	No	NA
For non-affiliates' everyday business purposes to assist us in obtaining business or providing account maintenance or customer service to your account(s).	Yes	No
For our affiliates to market to you—to offer new products or services to you.	No	NA
For non-affiliates to market to you—we do not sell, share, or disclose your nonpublic personal information to nonaffiliated third-party marketing companies.	No	NA

<p><b>For advisory representatives who leave Financial Dimensions Group, Inc.</b>—if your advisory representative leaves Financial Dimensions Group, Inc. to join another investment adviser or other financial institution, the advisory representative will retain copies of your personal information so that he or she can continue to serve you at the new firm. In doing so, your advisory representative will share your information with the new firm but is otherwise required to keep confidential the personal information obtained from you while the advisory representative was affiliated with Financial Dimensions Group, Inc., and he or she will use it only to service your account(s).</p> <p><b>Please note:</b> Certain states require affirmative consent to allow sharing. See below for more on your rights under state law.</p> <p><b>In the event that a Financial Dimensions Group, Inc. advisory representative terminates his or her relationship with Financial Dimensions Group, Inc., and you want to follow your advisory representative to his or her new firm, please do not request to limit our sharing.</b></p>	Yes	Yes
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<b>Who We Are</b>	
<b>Who is providing this notice?</b>	<b>Financial Dimensions Group, Inc.</b> <b>6 Pine Tree Drive, Suite 300</b> <b>Arden Hills, MN 55112</b>

<b>What We Do</b>	
How does Financial Dimensions Group, Inc. protect my personal information?	Financial Dimensions Group, Inc. recognizes the need to prevent unauthorized access to the information we collect, including information held in electronic format, and we protect your personal information in the following ways: <ul style="list-style-type: none"> <li>• Computer safeguards and secured files and buildings.</li> <li>• We only grant access to your personal information to parties with whom we have executed confidentiality/nondisclosure agreements and who need that information to serve you or to assist us in conducting our operations.</li> <li>• We have physical and electronic safeguards in place to ensure that we comply with our own policy, industry practices, and federal and state regulations.</li> <li>• Our employees are trained in the proper handling of sensitive information.</li> </ul>
How does Financial Dimensions Group, Inc. collect my personal information?	We collect your personal information, for example, when you: <ul style="list-style-type: none"> <li>• Open an account</li> <li>• Apply for insurance</li> <li>• Seek advice about your investments</li> <li>• Enter into an investment advisory account</li> <li>• Tell us about your investment or retirement portfolio</li> </ul> We also collect your personal information from others such as credit bureaus, affiliates or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only: <ul style="list-style-type: none"> <li><i>f</i> • Sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li><i>f</i> • Affiliates from using your information to market to you</li> <li><i>f</i> • Sharing for non-affiliates to market to you</li> </ul>

	State laws and individual companies give you additional rights to limit sharing. See below for more on your rights under state law.
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### **To Limit Our Sharing**

- Call Financial Dimensions Group, Inc. at 651-481-6285
- Mail your request to: Financial Dimensions Group, Inc.  
6 Pine Tree Drive, Suite 300  
Arden Hills, MN 55112

### **Definitions**

Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies.
Non-Affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies.
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. This includes banks, credit unions, or other financial institutions with which we have a joint marketing agreement.

### **Other Important Information**

If you live in an “opt-in” state, where we are required to obtain your affirmative consent to share your nonpublic personal information with nonaffiliated third parties who do not currently assist us in servicing your account or conducting our business, your advisory representative is required to obtain your consent before your advisory representative can take your information with him or her should your advisor leave Financial Dimensions Group, Inc..

#### **Information for California, North Dakota, and Vermont Customers**

In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.